



USPN 10/032,201

Van Rooijen et al.

RESPONSE TO NOTICE TO COMPLY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Van Rooijen *et al.*

Serial No.: 10/032,201

Filed: December 19, 2001

For: *METHODS FOR THE PRODUCTION OF
MULTIMERIC PROTEINS AND RELATED
COMPOSITIONS*

Art Unit: 1638

I hereby certify that this paper is being deposited
with the United States Postal Service as first
class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington,
D.C. 20231, on this date.

4-3-02

Date

Robert Wickman

TRANSMITTAL LETTER

Assistant Commissioner for Patents
ATTENTION: BOX MISSING PARTS
Washington, D.C. 20231

Dear Sir:

Transmitted herewith is an Amendment in Response to the Notice to Comply
with Requirements for Patent Applications Containing Nucleotide Sequence and/or
Amino Acid Sequence Disclosures, with paper and disk copies of the Substitute
Sequence Listing, a Verified Statement that the paper and computer readable copies of
the Substitute Sequence Listing are the same, and a copy of the Notice to Comply.

- ☒ The Commissioner is hereby authorized to charge any fee, including any
submitted herewith if an attached check(s) is in the wrong amount or
otherwise improper or missing, that may be due in connection with this
and the attached papers, or with this application during its entire
pendency to or to credit any overpayment to Deposit Account No. 50-
1213. A duplicate of this sheet is enclosed.

Respectfully submitted,
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:

Robert T. Ramos
Registration No. 37,915

Attorney Docket No. 38814-351B
Address all correspondence to:
HELLER EHRMAN WHITE & McAULIFFE LLP
4350 La Jolla Village Drive, 7th Floor
San Diego, California 92122
Telephone: (858) 450-8400
Facsimile: (858) 587-5360
E-mail: rramos@HEWM.com



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

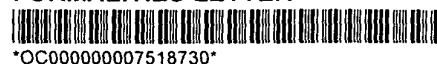
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032.201	12/19/2001	Gijs Van Rooijen	38814-351B

Stephanie Seidman
Heller Ehrman White & McAuliffe LLP
7th Floor
4350 La Jolla Village Drive
San Diego, CA 92122



CONFIRMATION NO. 4943

FORMALITIES LETTER



OC000000007518730

Date Mailed: 02/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

04/10/2002 MICHAEL 00000002 10032201

01 FC:105
02 FC:501

130.00 DP
40.00 DP

A copy of this notice MUST be returned with the reply.

A handwritten signature, possibly reading 'JL', is written above a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE




IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Van Rooijen et al.
Serial No.: 10/032,201
Filed: December 19, 2001
For: **METHODS FOR THE PRODUCTION
OF MULTIMERIC PROTEINS
COMPLEXES, AND RELATED
COMPOSITIONS**
Art Unit: 1638

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service, as first class mail in an envelope addressed to:
Commissioner for Patents
Box Missing Parts
P.O. Box 2327
Arlington, VA 22202, on this date.

4-3-02
Date


Robert Wickman

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents
Box Missing Parts
P.O. Box 2327
Arlington, VA 22202, on this date.

Sir:

In response to the Notice to File Missing Parts mailed **February 22, 2002**, the following documents are submitted herewith:

- 1) A copy of the Notice to File Missing Parts;
- 2) Executed Declaration(s) for Patent Application;
- 3) Four (4) Assignments from the inventors to **Sembiosys Genetics, Inc.**; along with a Recordation Form Cover Sheet;
- 4) Four (4) Assignments from the inventors to **Syngenta Participations AG**; along with a Recordation Form Cover Sheet;
- 5) Computer Readable Form (CRF) of Sequence Listing (with Diskette);
- 6) Amendment in Response to Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;
- 7) A check in the amount of \$210 which includes the \$130 non-small entity surcharge and two (2) \$40 assignment recordation fees;
- 8) Return postcard.

U.S.S.N. 10/032,201

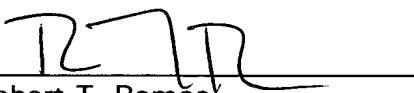
Van Rooijen et al.

RESPONSE TO NOTICE TO FILE MISSING PARTS

- ☒ The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,
HELLER EHRMAN WHITE & McAULIFFE LLP

By:


Robert T. Ramos
Registration No. 37,915

Attorney Docket 38814-351B

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